Rev 2/06

10/587905

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application : ROMUALD DEZ, ET AL.

Application No.

Filed : Herewith

For : SINTERABLE NANOPOWDER CERAMIC MATERIAL

AND METHOD FOR SYTHESIS THEREOF

Examiner

Attorney's Docket : BDL-504XX

TC Art Unit:

United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

By: Charles L. Gagnebin III
Registration No. 25,467

Attorney for Applicant(s)

#### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

It is desired to cite for the record in this application the enclosed references listed on the attached copy of PTO Form #1449. The paragraph(s) marked below are applicable to this Information Disclosure Statement.

[X] (1) Pursuant to 37 C.F.R. § 1.97(b)(1) and (2), the attached Information Disclosure Statement is being filed within three months of the filing date of the above identified national application or within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 of the above identified application. Accordingly, applicant(s) believes that no fee or statement under 37 C.F.R. § 1.97(e) is required.

-1-

Express Mail Number

EV 559896949US

# IAP5 Rec'd PCT/PTO 28 JUL 2006

Attorney Docket No.: BDL-504XX

10/587905 Filed: Herewith

TC Art Unit:

Confirmation No.:

- [] (2) Pursuant to 37 C.F.R. § 1.97(b)(3), applicant(s) believes the attached Information Disclosure Statement is being filed before the mailing date of a first Office action on the merits. Accordingly, applicant(s) believes that no fee or statement under 37 C.F.R. § 1.97(e) is required.
- [] (3) Pursuant to 37 C.F.R. § 1.97(b)(4), applicant(s) believes the attached Information Disclosure Statement is being filed before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Accordingly, applicant(s) believes that no fee or statement under 37 C.F.R. § 1.97(e) is required.
- [] (4) Pursuant to 37 C.F.R. § 1.97(c), the attached Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by:
  - [ ] a statement under 37 CFR § 1.97(e); or
  - [] the fee set forth in § 1.17(p).

### PETITION UNDER 37 CFR § 1.97(d)

[] (5) Pursuant to 37 CFR § 1.97(d), applicant(s) hereby petitions the Commissioner to consider the attached Information Disclosure Statement which is being filed on or before payment of the issue fee. This petition is accompanied by a statement under 37 C.F.R. § 1.97(e) and the petition fee set forth in 37 C.F.R. § 1.17(p).

#### STATEMENT UNDER 37 C.F.R. § 1.97(e)(1)

[] (6) The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

### STATEMENT UNDER 37 C.F.R. § 1.97(e)(2)

[] (7) The undersigned hereby states that no item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the attached Information Disclosure Statement was known to any individual designated in 37 C.F.R. §

IAP5 Rec'd PCT/PTO 28 JUL 2006 10/587 905

Attorney Docket No.: BDL-504XX Filed: Herewith

TC Art Unit:
Confirmation No.:

1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Where the status of the application has changed, unknown to the applicant, such that the boxes checked are no longer applicable, the Commissioner is authorized to accept this submission with any additional fees required by that change charged to Deposit Account No. 23-0804.

The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made. The filing of this Information Disclosure Statement is not to be construed to be an admission that the information cited herewith is, or is considered to be, material to patentability as defined in 37 CFR §1.56(b), or that Applicant(s) is(are) unable to provide an affidavit under 37 CFR §1.131 swearing back of such information.

If a search report of a searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy 37 C.F.R. § 1.98(a)(3) even if in a foreign language because the codes are the same in all languages. However, applicant(s) does not necessarily adopt the position reflected by that report.

These references were cited in an International Search Report (copy enclosed) which issued in International Application No. PCT/FR2005/000174 filed January 27, 2005.

## IAP5 Rec'd PCT/PTO 28 JUL 2006

Attorney Docket No.: BDL-504XX

Filed: Herewith TC Art Unit:

# Confirmation No 05

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 23-0804. Triplicate copies of this letter are enclosed.

Respectfully submitted,

ROMUALD DEZ, ET AL.

y: My Charles L Gagnebin III

Registration No. 25,467

Attorney for Applicant(s)

WEINGARTEN, SCHURGIN,
GAGNEBIN & LEBOVICI LLP

Ten Post Office Square Boston, Massachusetts 02109

Telephone: (617) 542-2290 Telecopier: (617) 451-0313

Date: July 28, 2006

CLG/mc/339380.1 Enclosure

# IAP5 Rec'd PCT/PTO 28 JUL 2006

Date: July 28, 2006
Page 1 of 1 10/587905

(DEN 05/02)	U.S. DEPARTMENT OF COMMERCE				ATTY. DOCKET NO.		APPLICATION NO.			
(REV. 05/03)		PATENT AND TRADEMARK OFFICE			BDL-504XX					
		INFORMATION DISCLOSURE (	CITATION			71				
(Use several sheets if necessary)										
					APPLICANT:					
					Romuald Dez, et al.					
					FILING DATE TC ART UNIT					
-					Herewith	<del> </del>				
		ı	U.S. PATENT DO	CUMENT	<u> </u>	1		ı .		
EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION/	N	NAME		SUBCLASS	FILING DATE		
IIVITEAL			ISSUE DATE							
		US								
			FOREIGN PATENT	DOCUME	ENTS					
		DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUBCLASS	TDANCI	ATION	
								TRANSI		
								YES	NO	
					· · · · · · · · · · · · · · · · · · ·					
		OTHER DOCUM	IENTS (including Auth	or, Title, De	ate, Pertinent Po	iges, etc.)				
		Martine Mayne, at al.: "Chemical Evolution of Laser Formed Si/C/N/Al (+Y)/O Nanopowders with Synthesis Conditions". 9 <sup>TH</sup> CIMTEC-WORLD CERAMICS CONGRESS, CERAMICS GETTING INTO THE 2000'S – PART B, 1999, pages 211-218. page 212; figure 1; table 2.								
	* M. Cauchetier et al.: "Si/C/N Nanocomposite Powders with Al (and Y) Additives Obtained by Laser Spray Pyrolysis of Organometallic Compounds". JOURNAL OF MATERIALS SCIENCE, vol. 34, 1999, pages 5257-5264.  B. Doucy et al.: "SiCN Nanocomposites: Powder Synthesis, Materials Processing and Ductility Assessment". KEY ENGINEERING MATERIALS, vol. 206-213, 2002, pages 1081-1084.								is of	
	F. Tenegal et al: "Local Order Determination in SiCN(AlY) Laser-Synthesized Nanopowders by X-Ray Photoemission Spectroscopy". JOURNAL OF APPLIED PHYSICS, vol. 87, no. 11, 1 June 2000 (2000-06-01), pages 7864-7870, "Experimental and Data Analysis".									
	* Dez, R., et al.: "Laser synthesis of silicon carbonitride nanopowders; structure and thermal stability". JOURNAL OF THE EUROPEAN CERAMIC SOCIETY, Elsevier Science Publishers, Barking, Essex, GB, vol. 22, no. 16, December 2002 (2002-12), pages 2969-2979.									
EXAMINER				DA	DATE CONSIDERED					
		itial if citation considered, whether or no		ance with M	PEP 609; Draw	line through	citation if not in	conforman	ce and	

CLG/mc/339381.1

### REFERENCE FURNISHED BY INTERNATIONAL BUREAU (PCT/FR2005/000174)

\* Reference is mentioned in specification